U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION **TRANSMITTAL**

| Attorne | y Docket | No. | 6978-000284 | - 6 |
|----------|-----------------|-----|---|------------|
| First In | ventor | Ran | dolph C. Williams | = |
| Title | TORQU ASSEMI | | UPLING WITH TRI-MODE OVERRUNNING CLUTCH | H711 |
| | | | | * |

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Express Mail Label No. | EV 406 074 838 US (3/29/2004) Mail Stop Patent Application APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🛛 Specification [Total Pages a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or Specification - Cross References to Related Applications filed in English ii. D paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention - Brief Summary of the Invention 9. Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) 4. 🔯 Drawing(s) (35 U.S.C.113) [Total Sheets | 18 12. 🔲 Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages 13. 🔲 **Preliminary Amendment** a. Newly executed (original or copy) 14. 🔯 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Request and Non Publication under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: _ 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: _ Prior application information: Group / Art Unit: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS 27572 or 🗆 Correspondence address below □ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Harness, Dickey & Pierce, P.L.C. Name P.O. Box 828 Address City Bloomfield Hills MI 48303 State Zip Code Country United States of America Telephone 248-641-1600 248-641-0270 Name (Print/Type) Philip E. Reftig Registration No. (Attorney/Agent) 34,000 Signature Date March 29, 2004

PTO/SB/17 (01-03)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| CCC TO ANOMITTAL | Complete if Known | | | |
|---|----------------------|----------------------|--|--|
| FEE TRANSMITTAL | Application Number | Not Yet Assigned | | |
| for FY 2004 | Filing Date | Not Yet Assigned | | |
| | First Named Inventor | Randolph C. Williams | | |
| Patent fees are subject to annual revision. | Examiner Name | Not Yet Assigned | | |
| Applicant claims small entity status. See 37 CFR 1.27 | Group / Art Unit | Not Yet Assigned | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1054 | Attorney Docket No. | 6978-000284 | | |

| | | | | | | ., | | | | |
|---|---------------------------------------|---|-------------------------------------|-------------|--------------|-----------------------------|--------------|------------------------|---|--|
| METHOD OF PAYMENT (check all that apply) | | | | | | FEE CALCULATION (continued) | | | | |
| | | | | | 3. ADI | DITIONAL | L FEES | | | |
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None ☐ Order | | | | | <u>Large</u> | Entity | Small E | ntity | | |
| □ Deposit Acc □ | ount: | | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Deposit | 1,,,,,,,,, | | | | 1051 | 130 | 2051 | (a) 65 | Surcharge - late filing fee or oath | [1 |
| Account Number | 14-0790 | | | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Deposit | | | | | 1053 | 130 | 1053 | 130 | Non-English specification | |
| Account | New Ventur | e Gear, Inc. | | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| Name | | | | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to | |
| The Commission | ner is authori | zed to: (check all | that apply) | | 1.00 | 020 | ,,,,, | 020 | Examiner action | |
| ☑ Charge fee(s☑ Charge any a | indicated belo dditional fee(s | ow 🔯 Credit any) during the pende | overpayments ency of this applic | ation | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | w, except for the | e filing fee | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| to the above-ide | · · · · · · · · · · · · · · · · · · · | CALCULATION | | | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1. BASIC F | LING FEE | | | | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| Large Entity | Small Entity | | | | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| Fee Fee Code (\$) | Fee Fee Code (\$) | Fee Description | <u>n</u> Fee P | oid | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1001 770 | 2001 385 | Utility filing fee | 770 | alu | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1001 770 | 2001 303 | Design filing fee | | | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1002 540 | 2002 170 | Plant filing fee | · | | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1003 330 | 2003 203 | • | <u> </u> | | | 4 540 | | | Petition to institute a public use | |
| 1004 770 | 2005 80 | Reissue filing fe Provisional fillin | | | 1451 1452 | 1,510 110 | 1451 2452 | 1,510 55 | proceeding Petition to revive – unavoidable | |
| ' | 011070 | /4\ | | _ | 1453 | 1,330 | 2453 | 665 | Petition to revive – unavoidable | |
| | SUBTO | IAL (1) | (\$) 77 | 0 | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 2. EXTRA CLA | M FEES | | | | 1502 | 480 | 2502 | 240 | Design issue fee | |
| | | Extra F | ee from F | ee | 1503 | 640 | 2503 | 320 | Plant issue fee | \vdash |
| T-4-1 01-1 [0 | | | | aid | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Total Claims 3 | -20 ** | = <u> </u> | 18 = 1 | 98 | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (g) | \ |
| Independent Claims 4 | -3 ** | = 1 X | 86 = 8 | 6 | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure | |
| Multiple Dependent | | ×[| = 0 | | 8021 | 40 | 8021 | 40 | Stmt Recording each patent assignment per property (times number of | |
| Large Entity | ı Small En | tity | | | 0021 | + | 0021 | 40 | properties) | |
| Fee Fee Code (\$) | | Fee Fee Descri | ption | | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | , |
| 1202 18 | 1 | 9 | xcess of 20 | | 1810 | 770 | 2810 | 385 | For each additional invention to be | |
| 1201 86 | 2201 | 43 Independer | nt claims in excess | of 3 | | | | | examined (37 CFR § 1.129(b)) | |
| 1203 290 | 2203 | | pendent claim, if n | • | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | , 🗀 : |
| 1204 86 | 2204 | | independent claim | s over | 1802 | 900 | 1802 | 900 | Request for expedited examination | |
| 1205 18 | 2205 | | claims in excess o | 20 and | 1002 | 300 | 1002 | 300 | of a design application | |
| | • | over origina | ıı patent | | Other fe | e (speci | fy) | | | |
| | | SUBTOTAL (2) | (\$) 284 | | *Redu | ed by R | asic Filing | ı Fee P | aid SUBTOTAL (3) | |
| ttor mumber | المستامينات | Lauratau Cau Dele | a aaaab | | | , | | , | (\$) 0 | |
| **or number previously paid, if greater; For Reissues, see above | | | | | 1 | | | | | |

| SUBMITTED BY Complete (if applicable) | | | | | | | | | |
|---------------------------------------|------------------|----------------------------------|--------|-----------|----------------|--|--|--|--|
| Name (Print/Type) | Philip E. Rettin | Registration No. Attorney/Agent) | 34,000 | Telephone | 248-641-1240 | | | | |
| Signature | Still | | | Date | March 29, 2004 | | | | |